

# Bestätigung über die Veröffentlichung einer Stimmrechtsmitteilung für Bertrandt AG

Sehr geehrte Damen und Herren,

für Bertrandt AG haben wir soeben folgende Stimmrechtsmitteilung veröffentlicht. Die Mitteilung wurde an die BaFin übermittelt und beim Unternehmensregister eingereicht.

Mit freundlichen Grüßen, Ihr DGAP-Team

# **Confirmation of Publication: Voting Rights Announcement for Bertrandt AG**

Dear Sir/Madam,

We have just published a Voting Rights Announcement for Bertrandt AG. The announcement has been transmitted to BaFin and Unternehmensregister.

Kind regards, The DGAP Team

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Basisverbreitung International / Basic Distribution International

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# **Englische Mitteilung / English Announcement**

DGAP Voting Rights Announcement: Bertrandt AG

Bertrandt AG: Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

## 16.10.2019 / 15:00

Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG.

The issuer is solely responsible for the content of this announcement.

# **Notification of Major Holdings**

#### 1. Details of issuer

Name:	Bertrandt AG
Street:	Birkensee 1
Postal code:	71139
City:	Ehningen
	Germany
Legal Entity Identifier (LEI):	529900DRBK1K538NYX30

#### 2. Reason for notification

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Χ	Acquisition/disposal of shares with voting rights
	Acquisition/disposal of instruments
	Change of breakdown of voting rights
	Other reason:

# 3. Details of person subject to the notification obligation

Natural person (first name, surname): Peter Zaldivar Date of birth: 26 May 1967

# 4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.

# 5. Date on which threshold was crossed or reached:

11 Oct 2019

## 6. Total positions

	% of voting rights attached to shares (total of 7.a.)	5 5	(7.a. +	Total number of voting rights pursuant to Sec. 41
New	0.00 %	0.00 %	0.00 %	10143240
Previous notification	4.96 %	0 %	4.96 %	1

## 7. Details on total positions

# a. Voting rights attached to shares (Sec. 33, 34 WpHG)

<u> </u>				
ISIN	Absolute		In %	
	Direct	Indirect	Direct	Indirect
	(Sec. 33 WpHG)	(Sec. 34 WpHG)	(Sec. 33 WpHG)	(Sec. 34 WpHG)
DE0005232805	0	0	0 %	0 %
Total	C	)	0	%

b.1. Instruments according to Sec. 38 (1) no. 1 WpHG

Type of	Expiration or maturity	Exercise or conversion	Voting rights	Voting rights
instrument	date	period	absolute	in %
				%
		Total		%

b.2. Instruments according to Sec. 38 (1) no. 2 WpHG

Type of	Expiration or	Exercise or	Cash or physical	Voting rights	Voting	
instrument	maturity date	conversion period	settlement	absolute	rights in %	
					%	
			Total		%	

# 8. Information in relation to the person subject to the notification obligation

Person subject to the notification obligation is not controlled nor does it control any other undertaking(s) that directly or indirectly hold(s) an interest in the (underlying) issuer (1.).

Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:

Name	% of voting rights (if at least 3% or more)	instruments (if at least 5% or	Total of both (if at
Peter Zaldivar	%	%	%
Kabouter Management, LLC	%	%	%

# 9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights in accordance with Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding total positions after general meeting (6.) after annual general meeting:

Proportion of voting rights	Proportion of instruments	Total of both	
0/0	%	%	

# 10. Other explanatory remarks:

Date

15 Oct 2019

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Archive at www.dgap.de

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Birkensee 1

71139 Ehningen

Germany

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End of News

**DGAP News Service** 

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